



#1638

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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON APRIL 9, 2002

Marianne H Michel  
AGENT/ATTORNEY FOR APPLICANT

April 9, 2002  
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Attorney Docket No. 0943

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Lowe et al.	Date:	April 9, 2002
Serial No.:	09/435,054	Group Art Unit:	1638
Filed:	November 8, 1999	Examiner:	M. Ibrahim
For:	Transcriptional Activator Nucleic Acids, Polypeptides and Methods of Use Thereof		

Assistant Commissioner for Patents  
Washington, D.C. 20231

**FILING OF AN INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(c)**

The attached list of citations is being submitted under the provisions of 37 C.F.R. §1.56 and §1.97 in order to comply with the duty of disclosure. Their inclusion herein should not, however, be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention.

The references cited herein are listed on the enclosed PTO Form 1449. Copies of each are enclosed.

This statement is being filed under the provisions of 37 C.F.R. §1.97(c), before the mailing date of a Final Office action or before the mailing date of a Notice of Allowance, along with the authorization to charge the \$180.00 fee specified in 37 C.F.R. §1.17(p) to the Deposit Account 16-1852.

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Serial No. 09/435,054  
Group Art Unit: 1638

Please charge any additional fees under 37 C.F.R. §1.16 or §1.17 which may be required by this paper, or credit any overpayment to the indicated Deposit Account.

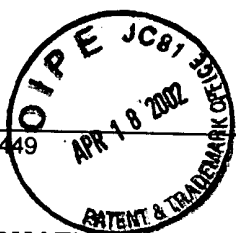
Respectfully submitted,

*Marianne H Michel*

Marianne H. Michel  
Attorney for Applicant(s)  
Registration No. 35,286

PIONEER HI-BRED INTERNATIONAL, INC.  
Corporate Intellectual Property  
7100 N.W. 62<sup>nd</sup> Avenue  
P.O. Box 1000  
Johnston, Iowa 50131-1000  
Phone: (515) 334-4467  
Facsimile: (515) 334-6883

Form PTO-1449

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ATTORNEY DOCKET NO.

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09/435,054

**INFORMATION DISCLOSURE STATEMENT****BY APPLICANT***(Use several sheets if necessary)*

APPLICANT

Lowe et al.

FILING DATE

November 8, 1999

GROUP

1638

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**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
A13	6,235,975 B1	05/22/01	Harada et al.	800	306	
A14	6,320,102 B1	11/20/01	Harada et al.	800	287	

**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No

**OTHER DOCUMENTS** *(Including Author, Title, Date Pertinent Pages, Etc.)*


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.